Form Approved: OMB No. 1512-0202 (2/28/86)

DEPARTMENT OF THE TREASURY
BUREAU OF ALCOHOL, TOBACCO AND FIREARMS

NOTICE OF CHANGE IN STATUS OF PLANT

(See instructions on reverse)

1. DISTILLED SPIRITS PLANT NO.

2. SERIAL NO.

3. EFFECTIVE DATE AND HOUR OF PROPOSED CHANGE

SECTION A. COMMENCEMENT, RESUMPTION OR SUSPENSION OF DSP PRODUCTION

4. NOTICE IS HEREBY GIVEN THAT THE PROPRIETOR DESIRES, ON THE DATE AND HOUR SPECIFIED ABOVE, TO:

☐ Commence or resume operations for the production of spirits

☐ Suspend operations for the production of spirits for a period of 90 days or more

SECTION B. OPERATIONS BY ALTERNATING DSP PROPRIETORS

5. NOTICE IS HEREBY GIVEN THAT THE PROPRIETOR DESIRES, ON THE DATE AND HOUR SPECIFIED ABOVE, TO:

☐ Transfer the plant or a portion thereof to the alternating proprietor named below

☐ Receive the plant or a portion thereof from the alternating proprietor named below

NAME OF ALTERNATING PROPRIETOR

PLANT NO. UNDER ALTERNATING PROPRIETOR

SECTION C. ALTERNATE USE OF DSP PREMISES FOR CUSTOMS PURPOSES

6. NOTICE IS HEREBY GIVEN THAT THE PROPRIETOR DESIRES, ON THE DATE AND HOUR SPECIFIED ABOVE, TO:

☐ Curtail plant premises to permit temporary use of a portion thereof by customs officers for the gauging of imported distilled spirits

☐ Extend plant premises to include a portion thereof temporarily used by customs officers for the gauging of imported distilled spirits

☐ Curtail plant premises to permit temporary use of a portion thereof in a class 6 customs manufacturing bonded warehouse

☐ Extend plant premises to include a portion thereof temporarily used in a class 6 customs manufacturing bonded warehouse

SECTION D. ALTERNATE USE OF DSP PREMISES AS BONDED WINERY, BONDED WINE CELLAR OR TAXPAID WINE BOTTLING HOUSE

7. NOTICE IS HEREBY GIVEN THAT THE PROPRIETOR DESIRES, ON THE DATE AND HOUR SPECIFIED ABOVE, TO:

A. ☐ Curtail plant premises to permit temporary use of a portion thereof as:

☐ Bonded winery or bonded wine cellar premises

☐ Taxpaid wine bottling house

B. ☐ Curtail the premises of bonded winery or bonded wine cellar _____ 1/ for use as distilled spirits plant premises.

C. ☐ Curtail the premises of taxpaid wine bottling house _____ 1/ for use as distilled spirits plant premises.

D. ☐ Extend Plant premises to include a portion thereof temporarily used as:

☐ Bonded winery or bonded wine cellar premises

☐ Taxpaid wine bottling house

E. ☐ Extend the premises of bonded winery or bonded wine cellar _____ 1/ to permit use of the distilled spirits plant premises temporarily curtailed in 7A above.

F. ☐ Extend the premises of taxpaid wine bottling house _____ 1/ to permit use of the distilled spirits plant premises temporarily curtailed in 7A above.

SECTION E. (IDENTITY AND NATURE OF CHANGE) ON REVERSE

SECTION F. DISPOSITION OF MATERIALS AND PRODUCTS ON DSP PREMISES

8. ON THE EFFECTIVE DATE AND HOUR OF THIS NOTICE, THE PROPRIETOR WHOSE NAME APPEARS BELOW WILL:

A. ☐ Transfer 2/ to the alternating proprietor named above

☐ Receive from the alternating proprietor named above

in the production account: ☐ Distilling Material ☐ Unfinished Spirits ☐ Spirits for Redistillation

in the storage account: ☐ Spirits

in the processing account: ☐ Bulk Spirits *(including denatured spirits)* ☐ Wines

8B. REMOVE, BEFORE THE EFFECTIVE DATE AND HOUR, FROM PREMISES AFFECTED BY THE CHANGE:

☐ All finished bottled spirits ☐ Wines ☐ Other spirits *(including denatured spirits)* ☐ Articles

8C. RETAIN IN PROCESSING ACCOUNT DURING THE PERIOD OF ALTERNATE PROPRIETORSHIP:

☐ Denatured spirits in locked tanks

☐ Articles in locked tanks

9. DATE	10. PROPRIETOR	11. BY (Signature and Title)

SECTION E. IDENTITY OF DSP, BW, BWC OR TPWBH PREMISES (OR PORTION THEREOF) AFFECTED, AND NATURE OF CHANGE

Refer specifically (by number or letter, where applicable) to description of premises in ATF F 5110.41, Registration of Distilled Spirits Plant, and to plans, as applicable, to show precise identification of the premises or part thereof affected. If temporary curtailment and extension of premises under item 6 or 7 is proposed, show what the status of all areas, rooms, or buildings and/or pieces of equipment, including spirits lines, will be after such change is made. (If necessary, attach properly identified supplemental sheets.)

FOOTNOTES

1/Show registration number of BW, BWC, or TPWBH.

2/Prepare ATF F 5110.27 for all transfers shown in item 8A.

INSTRUCTIONS

1. Notice shall be submitted in duplicate to the Regional Regulatory Administrator, through the ATF Area Supervisor, before the proprietor of a distilled spirits plant may change the status of his plant.

2. This form may be used to alternately extend and curtail DSP, BW, BWC, or TPWBH premises for use of the premises by one of the registrants if the registered operations are owned by the same person.

3. Notices by alternate proprietors shall be submitted by both proprietors in duplicate. The two Notices (one to transfer, one to receive) should be filed simultaneously.

4. Notices to alternate, curtail, or extend premises by the same proprietor shall also be submitted in duplicate.

5. Notices to commence, suspend, or resume production operations must have been received by the ATF Area Supervisor prior to the effective date of the change.

PAPERWORK REDUCTION ACT NOTICE

This request is in accordance with the Paperwork Reduction Act of 1980. The information collection documents changes in the use and/or proprietorship of a distilled spirits plant. This information collection is necessary for ATF to ensure compliance with applicable laws and regulations and collection of tax from the person liable for it. The information required is mandatory under statute (26 USC 5172, 5271 and 5178).

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