Form Approved: OMB No. 1512-0204 (11/30/87)

DEPARTMENT OF THE TREASURY — BUREAU OF ALCOHOL, TOBACCO AND FIREARMS

FORMULA FOR DISTILLED SPIRITS UNDER THE FEDERAL ALCOHOL ADMINISTRATION ACT

(See Instructions and Conditions on reverse)

1. CLASS AND TYPE OF PRODUCT

2. NAME, ADDRESS, AND PLANT NUMBER(S) OF MANUFACTURER *(See Instructions)*

3. PROOF *(Range may be shown)*

4. FORMULAS SUPERSEDED:

PLANT NUMBER	FORMULA NUMBER

5. FORMULA *(Use reverse if more space is needed)*

6. DATE	7. MANUFACTURER	8. BY *(Signature and Title)*

FOR ATF USE ONLY (Items 9, 10 and 11)

9. ☐ Harmless coloring, flavoring or blending materials must not total more than 2.5% by volume of the finished product.

☐ Sugar, dextrose or levulose or a combination thereof must be used in an amount not less than 2.5% by weight of the cordial or liqueur.

☐ The use of refining agents must not alter the basic characteristics or composition of the spirits.

☐ The blended whiskey must contain not less than 20% straight whiskey on a proof gallon basis.

LABELING *(finished product only)*

☐ The designation of the product must include a truthful and adequate statement of composition.

☐ The label must indicate the use of:

☐ caramel ☐ certified color

☐ artificial flavor ☐ wine

☐ _____

10. DATE	11. APPROVED — ATF SPECIALIST FOR THE DIRECTOR, BUREAU OF ALCOHOL, TOBACCO AND FIREARMS.	12. FORMULA NUMBER

GENERAL INSTRUCTIONS

Every person who is required to file a formula under 27 CFR, Part 5, shall submit this form to the Commodity Classification Branch, Bureau of Alcohol, Tobacco and Firearms, Washington, DC 20226, for each formula covering treatment of distilled spirits. Plant qualification and formula approval are required prior to manufacture of any product requiring a formula.

The number of copies to be filed is as follows: one copy for the Director, one copy for each ATF region in which a distilled spirits plant identified in item 2 is located, and either one additional copy or one additional copy for each distilled spirits plant identified in item 2. However, manufacturers in Puerto Rico shall file this form in quadruplicate.

If the formula is approved, one approved copy will be retained by ATF, one approved copy will be sent to each appropriate regional regulatory administrator and the remaining approved copy(ies) will be sent to the addressee. The addressee will forward approved copies (or two-sided reproductions) to each distilled spirits plant identified in item 2.

SPECIFIC INSTRUCTIONS

Item 1. The class and type must agree with one of the class and type designations in the regulations issued under the Federal Alcohol Administration Act, except for distilled spirit specialties or cocktails which may be designated as such for formula approval. Do not show a brand or fanciful name.

Item 2. Enter the name, complete address and plant number of the applicant and the city, State and plant number for all other plants where the product will be manufactured.

Item 3. If the product will be bottled at more than one proof, state the alcoholic content as a range to include all proofs at which the product will be bottled.

Item 4. Enter DSP number and formula number for each formula which is superseded.

Item 5.

(a) List all ingredients which will be used. Flavoring or blending materials must be identified by flavor title, name and address of the manufacturer or the importer, if alcoholic, the percentage of alcohol by volume and the manufacturer's commercial formula number, or if approved on ATF Form 1678 or 5110.38, the formula number and date of approval.

(b) Identify all coloring agents added directly to the product or contained in flavor materials. Certified food colors must be identified by FD&C number, for example "FD&C Yellow No. 5."

(c) If any type of wine (including vermouth) is to be used in the product, state the kind, percentage of wine to be used, whether the wine is domestic or imported, whether the wine contains added wine spirits, and the percentage of alcohol by volume of the wine.

(d) If the finished product is to be labeled as containing a particular class and type of distilled spirits (such as "Blackberry Liqueur & Brandy" or "Coffee Liqueur & Non-Dairy Creamer") the ingredients used to produce the particular class and type of distilled spirits must be listed in a manner so that they are distinguishable from the remaining ingredients for the finished product.

Item 12. Number formulas in sequence. A proprietor of more than one distilled spirits plant shall use a unique system of serial numbers which does not duplicate any formula number used at any plant prior to January 1, 1980.

CONDITIONS FOR FORMULA APPROVAL

This approval is granted under 27 CFR, Part 5 and does not in any way provide exemption from or waiver of the provisions of the Food and Drug Administration regulations relating to the use of food and color additives in food products.

Addition of remnants or returned merchandise to a completed product made under the same formula is permitted.

PAPERWORK REDUCTION ACT NOTICE

This request is in accordance with Section 3507, Public Law 96-511, December 11, 1980. This information collection is used by ATF to determine proper classification of spirits for labeling and consumer protection purposes. The information is required to obtain a benefit.