Form Approved: OMB No. 1512-0046 (10/31/89)

DEPARTMENT OF THE TREASURY — BUREAU OF ALCOHOL, TOBACCO AND FIREARMS

REGISTRATION OF VOLATILE FRUIT-FLAVOR CONCENTRATE PLANT

(PREPARE IN DUPLICATE – SEE INSTRUCTIONS ON BACK)

1. SERIAL NUMBER *(Number in sequence starting with "1" for original registration)*

2. DATE

PART I – APPLICATION

3. TO REGIONAL REGULATORY ADMINISTRATOR, BUREAU OF ALCOHOL, TOBACCO AND FIREARMS *(City and State)*

4. REGISTRY NUMBER

5. APPLICANT'S NAME AND PRINCIPAL BUSINESS ADDRESS *(Number and street, city, State and ZIP Code. If rural address, show county, name or number of highway, and approximate distance and direction from applicant's post office)*

6. PLANT ADDRESS *(If different from business address. Enter in same detail as asked for in item 5)*

7. PURPOSE FOR WHICH FILED: ☐ ORIGINAL REGISTRATION ☐ CHANGES AFTER ORIGINAL ESTABLISHMENT (Explain) ☐ OTHER *(Specify)*

8. INFORMATION REGARDING PROPRIETORSHIP *(State whether sole proprietorship, partnership, association, corporation, etc. and furnish information regarding business organization as required by 27 CFR Part 18) (see instruction #3. on back)*

9. DESCRIPTION OF STILLS *(List separately each still and condenser by serial number, kind, capacity in wine gallons of distillate per hour, and intended use)*

UNDER PENALTIES OF PERJURY I DECLARE THAT I HAVE EXAMINED THIS APPLICATION, INCLUDING THE DOCUMENTS SUBMITTED IN SUPPORT HEREOF OR INCORPORATED HEREIN BY REFERENCE, AND, TO THE BEST OF MY KNOWLEDGE AND BELIEF IT IS TRUE, CORRECT, AND COMPLETE.

10. SIGNATURE

11. TITLE

REMARKS

PART II – REGISTRATION

Approved for registration effective as of date indicated.

EFFECTIVE DATE

SIGNATURE OF REGIONAL REGULATORY ADMINISTRATOR, BUREAU OF ALCOHOL, TOBACCO AND FIREARMS

DATE

INSTRUCTIONS

1. Submit each application, in duplicate, to the Regional Regulatory Administrator, Bureau of Alcohol, Tobacco and Firearms, of the region in which the plant is located. The application consists of this form and all papers and documents required by the instructions on the form or by 27 CFR Part 18 to be furnished as part of the application.

2. Information required by the various items may be furnished on letter-size paper attached to the form. Each sheet shall identify the applicant, this form and the serial number thereof, and the number and title of the item being reported.

3. As to item 8, include, as applicable:

   (a) Extracts from the articles of incorporation, or from the minutes of meetings of the board of directors, authorizing the incumbents of certain offices, or other persons, to sign for the corporation;

   (b) Names and addresses of the officers and directors;

   (c) Names and addresses of the 10 persons having the largest ownership or other interest in the corporation, or other legal entity, and the nature and amount of the stockholding or other interest of each, whether such interest appears in the name of the interested party or in the name of another for him.

   (d) In the case of an individual owner or a partnership, the name and address of every person interested in the concentrate plant, whether such interest appears in the name of the interested party or in the name of another for him.

   If any of the information specified above is already on file with the Regional Regulatory Administrator, in connection with another estblishment under the same ownership, such information may, if accurate and complete, be made a part of this application if the applicant makes a definitive reference thereto in item 8 and shows name and identifying number of the establishment for which he submitted the information.

4. When a change occurs which affects the accuracy or completeness of any information or document furnished in connection with registration of the plant, submit an amended application to the Regional Regulatory Administrator, as prescribed in 27 CFR Part 18. Such application may be in skeleton form. Items which are correctly set forth in prior applications and remain unchanged may be incorporated in the amended application by reference. Such incorporation by reference is made by entering for such item in the space provided therefor the statement "No change since filing form 27-G (5520.3) Ser. No. _____" (insert serial number).

5. When so instructed by the Regional Regulatory Administrator, applicants are required to furnish as part of the application such additional information as may be necessary for the Regional Regulatory Administrator to determine whether the application should be approved.

6. In the case of a partnership, the application must be signed by all partners, or by a partner duly authorized to sign in behalf of the firm, or by a duly authorized attorney-in-fact. In the case of a corporation, the application must be executed in the corporate name, followed by the signature and capacity of the person duly authorized to act in its behalf.

PRIVACY ACT INFORMATION

The following information is provided pursuant to Section 3 of the Privacy Act of 1974 (5 U.S.C. § 552a(e)(3)):

1. AUTHORITY. Solicitation of this information is made pursuant to 26 U.S.C. § 5511(3). Disclosure of this information by the applicant is mandatory if the applicant wishes to register his volatile fruit flavor plant.

1. PURPOSE. To identify the applicant, to identify the location of the premises, the capacity and to determine the eligibility of the applicant to register the plant.

3. ROUTINE USES. The information will be used by ATF to make determinations set forth in paragraph 2. In addition, the information may be disclosed to other regulatory agency personnel to verify information on the form where such disclosure is not prohibited by law. The information may further be disclosed to the Justice Department if it appears that the furnishing of false information may constitute a violation of Federal law. Finally, the information may be disclosed to members of the public in order to verify the information on the form when such disclosure is not prohibited by law.

4. EFFECTS OF NOT SUPPLYING INFORMATION REQUESTED. Failure to supply complete information will delay processing and may result in the denial of the application.

PAPERWORK REDUCTION ACT NOTICE

This information collection is not subject to OMB review under the Paperwork Reduction Act of 1980 because it is submitted to 9 or fewer persons.